

Mayberry Investments Limited Business Continuity Plan (BCP) Disclosure Statement

Definition:

The Business Continuity Plan (BCP) is a component of the Company's overall risk management framework, in place to ensure that in the event of any significant business interruption SBI, natural or man-made, the company's ability to continue servicing its stakeholders will not be impacted significantly.

Overview

At Mayberry, we believe in the value of foresight and this has been part of the underpinning influences that inspires the confidence of our stakeholders. Hence, the priority of the BCP is to respond to any significant business interruptions in a manner that prioritizes the immediate safety of its employees, preservation of the firm's property, and a quick recovery of the business operational activities. The BCP identifies the critical functions necessary for prevention and continuance, as well as the resources required to support these areas and create a framework on which to build the BCP.

In an effort to ensure that the company's continued functionality as a broker/dealer and service provider to its stakeholders, the following steps have been implemented:

- Maintenance of a reliable back-up system of all customers' files and other internal books and records at an off-site facility.
- An alternate point of operation with designated back-up facility.
- Arrangements are in place to ensure that reasonable communication is maintained with customers, clearing firm, vendors, bankers and regulators.
- In the event that the disruption in business activity is determined to be so catastrophic that MIL is unable to continue its operations management would ensure that customers have prompt access to their funds and securities.

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Disclaimer

The purpose of the Business Continuity Strategy is to ensure that in the event of any significant business interruption (SBI), MIL will be able to return to normality in servicing its customers within the shortest possible time. While we endeavor to be as prepared as possible, for any possible outcomes MIL can neither guarantee the likely impact nor the actual outcome. MIL has no control over the responsiveness of the various entities on which the company relies for external support.

The Business Continuity Plan is subject to review and amendments as we continue to update our procedures to assess new and or changing risk patterns in order to adapt to them effectively.