



**MAYBERRY**  
INVESTMENTS LIMITED

1985 - 2018  
**33**  
CELEBRATING 33 YEARS

***“Transforming lives positively through lasting relationships”***

**SENIOR MANAGER, COMPLIANCE & RISK**

The Senior Manager, Compliance & Risk is responsible for:

- Developing and maintaining enterprise risk management frameworks, tools, risk metrics and related policies and guidelines and effectively implement them across the Company and in line with Mayberry’s risk appetite.
- Developing and monitor key risk indicators, risk limits, risk appetite levels, and actual or anticipated breaches of risk limit to ensure risk levels are aligned to the risk appetite of the firm.
- Providing regulatory compliance expertise to support strategic initiatives and advise the business in managing the compliance risk associated with regulatory requirements.
- Strengthening the Company's Regulatory Compliance Programme, including but not limited to the POCA, AML/CFT Regulations, FATCA, the Securities Act and Regulations, and the Banking Services Act and Regulations.

**The successful candidate should have:**

- Masters in Risk Management, Economics, Finance, Accounting, Business Administration or related professional qualification;
- Minimum five (5) years' experience in Risk Management and Compliance field, including working knowledge of risk management governance and controls;
- Risk Management designation/training (FRM, CFA or related designation);
- In-depth knowledge of laws and regulatory issues in Securities Dealing;
- Sound knowledge of FATCA, POCA & the Anti-Money Laundering Act, Banking Act, Companies Act, Securities Act, Income Tax Act, and regulatory requirements for Securities Dealing and new product development for related business initiatives;
- Demonstrated relationship-building skills, with a superior ability to make things happen through the use of positive influence;
- Proficiency in the use of Microsoft Excel is essential, including experience using macros;
- Process design and analysis skills;
- Above average skills in: technical and professional writing, conducting audits, regulatory examinations/inspections, emotional intelligence and interviewing;
- Demonstrated ability to: build teams, resolve conflicts, meet deadlines, gain buy-in to processes, regulations and concept changes, act with integrity and operate in a professional manner at all times;
- Excellent negotiation and time management skills;
- Ability to communicate effectively; and
- Research, analysis and judgment skills.

If you are the candidate for the job, please submit your resume and a cover letter to [resumes@mayberryinv.com](mailto:resumes@mayberryinv.com)

**Subject Line: Senior Manager, Compliance & Risk  
no later than **March 21, 2018****

***We appreciate all applications, however only short listed candidates will be contacted.***